

# FORMS: Feature-Oriented Reverse Engineering-based Middleware Specialization for Product-Lines

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**Abstract**—Supporting the varied software feature requirements of multiple variants of a software product-line while promoting reuse, forces product line engineers to use general-purpose and feature-rich middleware platforms. However, each product variant now incurs memory footprint and performance overhead due to the feature-richness of the middleware along with the increased cost of its testing and maintenance. To address this tension, this paper presents FORMS (Feature-Oriented Reverse Engineering for Middleware Specialization), which is a framework to automatically specialize general-purpose middleware for product-line variants. FORMS provides a novel model-based approach to map product-line variant-specific feature requirements to middleware-specific features, which in turn are used to reverse engineer middleware source code and transform it to specialized forms resulting in vertical middleware decompositions. Empirical results evaluating memory footprint reductions (40%) and feature reductions (60-76%) are presented along with qualitative assessment of discrepancies in modularization of contemporary middleware.

**Index Terms**—Middleware; Specialization; Reverse Engineering; Closure; Footprint; Feature Oriented Programming; Product-line

## I. INTRODUCTION

Product-line engineering (PLE) [1] has emerged to become one of the most widely used paradigms for software development in varied domains where commonality and variability plays a crucial role in determining the reusability, flexibility, adaptability, evolvability, maintainability and quality of service (QoS) provided by the product variants to the end users. The commonality is shared by different products of the product line whereas variability distinguishes individual product variants. The variability may manifest itself in terms of functionality or configurability or both.

To support these commonalities and variabilities, and to maximize reuse, middleware, such as CORBA, J2EE, and .NET, provides abstraction of complexity and heterogeneity. To be widely applicable across multiple domains, these middleware are designed to be general-purpose, highly flexible and very feature-rich *i.e.*, they provide rich set of capabilities along with their configurability.

Despite the benefits of general-purpose middleware for a

product line as a whole, individual product variants, however, incur the penalty of excessive memory footprint and potentially performance overhead due to the excessive set of middleware features – many of which may not be required by the product variant. Additionally, excess set of features results in unwanted testing and maintenance costs per variant, which is detrimental to a cost-effective PLE management.

A promising solution to address the above-mentioned challenge is to specialize general-purpose middleware for product variants of the product line. Prior research on middleware specialization has focused on forward engineering techniques, such as Feature Oriented Programming (FOP) [2] and Aspect Oriented Programming (AOP) [3], which are based on composition and stepwise refinement. Some examples of these approaches include *FACET* [4], *Modelware* [5], *LOpenOrb* [6], and *FOMDD* [7].

Since middleware needs to cater to multiple domains (*i.e.*, be general-purpose and flexible), they are designed and modularized with a focus on extensible class hierarchies alone. Hence the middleware developer focuses more on *horizontal decomposition* of middleware into layers. In contrast, to support product variants, PLE requires the middleware code to be modularized along domain concerns. We call such a modularization as *vertical middleware decomposition* or *feature module specialization*.

We observe that much of the contemporary middleware available is still not developed using the top-down PLE techniques of domain engineering and application engineering but in fact built bottom-up based on a modularized design template. However, the PLE domain concerns (which we call features) are often tangled with each other, and are spread beyond the module (*i.e.*, class and package) boundaries across multiple modules within the middleware source. Hence, even if a middleware packager decides to compose a specialized middleware version based on the intended design modularity, the specialized version of the middleware still results in many excessive features that are not necessary for the particular domain concern being tackled by the target application. As a consequence, prior research on middleware specialization

does not address PLE issues.

An approach to resolve this challenge relies on reverse engineering techniques such as source code analysis since they are not restricted to module or layer boundaries imposed by traditional bottom-up composition techniques. Since reverse-engineering techniques rely more on top-down approaches using introspection and reflection, they address the PLE "application engineering" phase. Therefore, in this paper we primarily focus on PLE application engineering whereas we employ FOP-based reasoning that deduces domain engineering concerns to drive the overall process. Thus, reverse engineering driven by domain concerns enables the implicit analysis and decomposition along domain concerns.

To realize these goals, we present the **Feature-Oriented Reverse Engineering for Middleware Specialization (FORMS)** approach and the resulting framework for refactoring general-purpose middleware along individual domain concerns that can be combined with application-level product line engineering. FORMS reverse-engineers existing middleware source code and synthesizes custom versions of middleware that are composed of only the features required by the individual product variants.

FORMS provides a multi-step process as follows: (1) it evaluates domain requirements using a wizard-driven reasoning that maps the platform-independent (PIM) domain requirements to a PIM middleware feature model, (2) it subsequently prunes the PIM middleware feature model into the PLE or product variant-specific feature model using the wizard interpreter tools, (3) it determines which platform-specific (PSM) middleware features are to be directly and indirectly included in the construction of the specialized middleware, (4) it uses a sophisticated algorithm to synthesize independent feature modules corresponding to the pruned middleware feature model, and (5) it customizes the build system and synthesizes libraries for the individual specialized middleware variants corresponding to the individual product variants.

The rest of the paper is organized as follows: Section II presents the FORMS approach to middleware specialization; Section III evaluates the FORMS approach by checking correctness and calculating footprint reduction using a representative case study of networked logging server product line variants. It also discusses the insights gained for potential enhancements that can be incorporated within FORMS for addressing fine-grained feature composition and discovering further issues with respect to middleware modularity concerns; Section IV discusses the related research efforts and classifies middleware specialization techniques; and finally Section V provides 'concluding remarks alluding to future research issues and lessons learned.

## II. THE FORMS MIDDLEWARE (DE)COMPOSITION PROCESS

This section presents the FORMS approach and the resulting framework for middleware specialization for product lines using feature-oriented reverse engineering. We assume

that middleware developers develop module code bottom-up based on a design template and subsequently create the corresponding build configurations for their modules through mechanisms, such as Makefiles or Visual Studio Project files.

FORMS is based on reverse engineering and takes a top-down approach where it identifies the feature modules within the middleware code base, and their dependencies based on the domain concerns that were identified in the PLE domain engineering phase. Subsequently, based on the selected domain concerns, it composes the corresponding implementation feature modules to synthesize the specialized middleware variant thereby vertically decomposing the middleware.

In FORMS, we view domain concerns to represent platform-independent feature models (PIM) whereas middleware platform features represent platform-specific feature models (PSM). FORMS provides a process to transform the PIM domain concerns to PIM middleware concerns and subsequently to PSM middleware implementation concerns, which finally drive the generation of specialized middleware for a given set of domain concerns. FORMS is built as a feature-oriented software development (FOSD) environment within a bigger toolchain called GAMMA (Generators and Aspects for Manipulating Middleware Architectures). GAMMA (<http://www.dre.vanderbilt.edu/GAMMA/>) has a host of associated tools that help the interpretation of these PIM feature models, their transformations from PIM to PSM, and profiling the specialized middleware configurations for performance and footprint metrics.

### A. Overview of the FORM Process

Figure 1 illustrates the FORMS middleware specialization process that PLE developers use for their product variants. We briefly describe the steps of the FORMS process below:

1. *Feature Mapping Wizard*: The PLE application developer starts the middleware specialization wizard and begins describing the characteristics of the product to be developed specifying the PIM product-line, domain-level concerns needed for the variant.

The Feature Mapping wizard maps the PIM product-line domain-level concerns to PIM middleware features. The wizard asks questions about the configuration requirements and options of the product for which middleware is to be developed. These requirements include distribution features, such as client/server; concurrency features, such as single/multi-threaded, in that order. The selected features are also configured along the way as they are selected for composition. The wizard can ask further fine-grained questions within each individual coarse-grained feature that is being selected to exactly configure that feature. The PLE developer response determines the next question that will be asked.

The wizard then creates initial build configuration files that contain hints as to what source files to include in the middleware build. These files identify the starting points for creating the closure sets of source file dependencies where no file within a closure has dependencies on

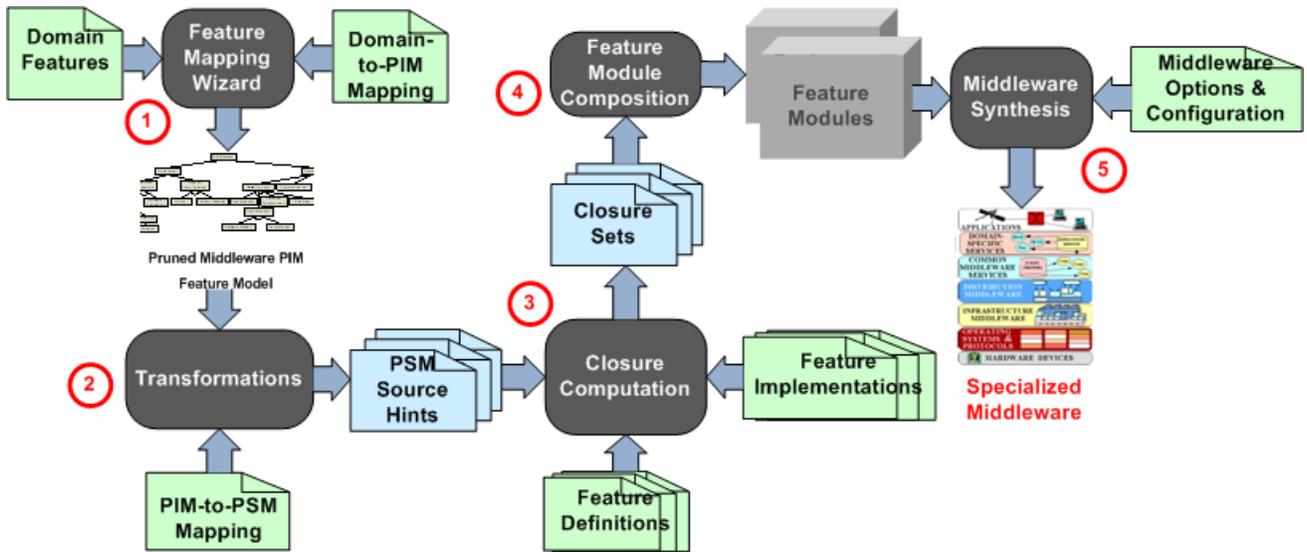


Fig. 1. FORMS Middleware Specialization Process

files outside the closure set. Note that the FORMS tool understands the middleware code organization including the organization of the source files.

2. *Transformations*: Once the pruned PIM middleware feature set is obtained from the wizard, it is then mapped to the actual PSM middleware features that implement the individual PIM features using the PIM-PSM mappings that are provided by the middleware developer.
3. *Closure Computation*: Once the hints are obtained, they are used to create closure sets using an algorithm that systematically composes the source code and files that are associated with each feature into a feature module (FM). The closure sets are essentially all the dependencies that are gathered by the tool.
4. *Feature Module Composition*: The feature modules are then composed into product variants which map to domain concerns directly.
5. *Specialized Middleware Synthesis*: The build configuration is specialized by adding source files from individual closure sets of feature modules to the build descriptor thereby generating the build configuration file, such as a Makefile. For our evaluations, FORMS generates the Make Project Creator (MPC) [8] build configuration file. This MPC file represents the part of the specialized middleware that is to be built for the product variant. The generated MPC file is then used to create platform-specific make files by running the MPC-supplied perl-based scripts. The platform-specific Makefiles are then used to synthesize the specialized middleware for the product line or product variant.

Notice that this process is entirely repeatable and reusable. A repository of requirements for product variants can be maintained. There is no need to maintain the customized versions of the middleware since it can be synthesized through this process. In the rest of the section we focus on some of

the important building blocks of FORMS.

### B. The FORMS Stages

1) *Feature Mapping Wizard*: In the PLE development process, FORMS is applicable in the packaging and assembly phases where the PLE application and variant along with its middleware is configured and packaged. The requirements reasoning wizard performs the difficult job of mapping the PIM product-line domain concerns to PIM middleware features.

Domain concerns describe the characteristics of the product being developed. These characteristics may include functional concerns as well as non-functional (QoS) concerns. Functional concerns describe the way a particular application/product behaves, and its configuration. Non-functional concerns usually describe the way a product is supposed to perform which includes dimensions of concurrency, event processing, protocols, etc.

Normally, domain concerns and middleware features manifest themselves into separate hierarchical representations. Therefore, a mapping is required to transform domain concern hierarchies to middleware feature hierarchical models. In order to create a systematic mapping, this wizard makes use of model transformations to navigate through the concern and feature hierarchies. Interestingly, both the functional and non-functional concerns can map within the same middleware feature model. The features higher-level in the decision tree represent the functional concerns and since the lower-level features configure the higher-level features, they represent the non-functional concerns.

Feature models of the general-purpose middleware as shown in Figure 3 tend to be very complex and huge making it very cumbersome to analyze for modularity. Fortunately, the feature sets for product variants are limited, which makes the mapping of concerns tangible within the middleware feature set. This helps us map known domain concerns to the middleware

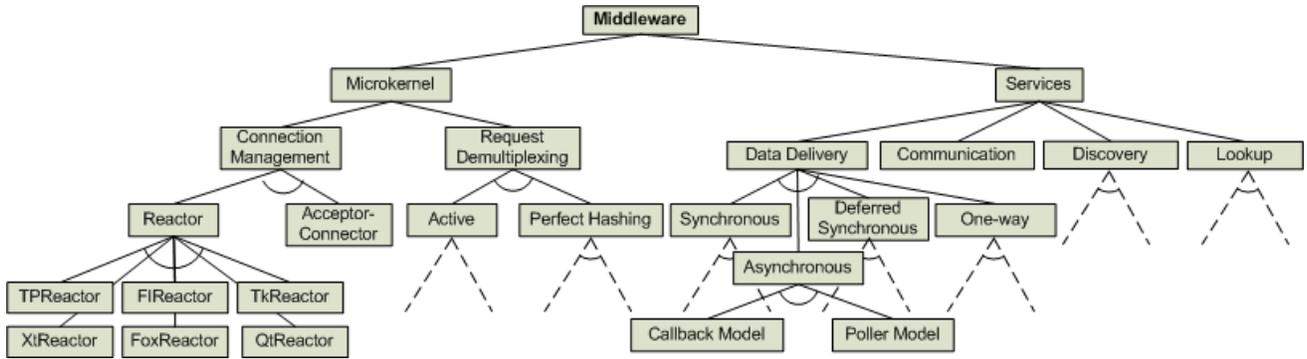


Fig. 3. Middleware PIM Feature Model

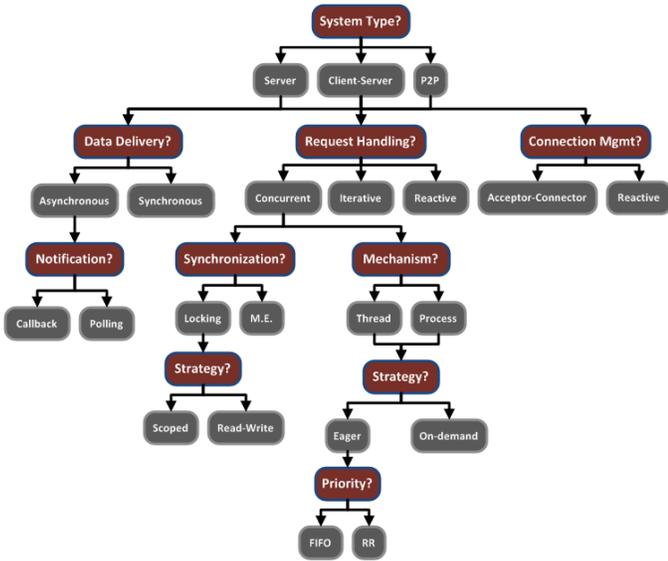


Fig. 2. Decision Tree used by the Feature Mapper Wizard

features in advance resulting in a  $m : n$  correspondence between the concern model and middleware feature model. Thus, based on the concern model the middleware feature models needs to be pruned to remove the unwanted features that do not map to the domain concerns. This is done through the feature model interpreters provided by FORMS.

After performing this mapping, a pruned PIM-level middleware feature set is generated that is used to synthesize the specialized middleware for the particular product variant. We assume that the mapping of platform-specific middleware features to source code is already performed beforehand by the middleware developer at design time enabling us to directly determine the source code that implements the middleware feature set and hence the domain concerns. The wizard outputs the source code hints that act as the starting point of the closure computation algorithm.

2) *Discovering Closure Sets*: Once the source code hints that directly implement the domain concerns are determined, their dependencies on other code within the middleware needs to be determined. All such code that is interdependent on

each other is what implements the domain concern. We call such a set of source files as a *closure set* in which there are no source file dependencies going out of the closure set. We differentiate between feature definition and feature implementation files. Feature definition makes it easier to identify and annotate features whereas feature implementations which capture the feature behavior may differ from one middleware implementation to another depending upon the language of implementation. Thus the closure computation identifies the set of dependent features definitions and their definitions, and composes them into a coherent and independent feature module.

We have designed a recursive closure computation algorithm that walks through the source code dependency tree and identifies the source that is dependent on the feature. However, opening each file on-the-fly and checking the dependencies is inefficient since it requires numerous I/O operations. Instead we run an external dependency walker tool like Doxygen [9] or Redhat Source Navigator [10] to extract out the dependency tree.

1. **Lines (1-7)**: The middleware developer provides the mapping from the PIM middleware features to the PSM feature definition files in which the features are mapped. Since this is a coarse-grained mapping it is simpler to designate a set of files for a feature definition.
2. **Lines (10-17)**: Once these source code hints are obtained the algorithm computes the closure set for each of the source code hints. This step produces even more dependent feature definition files which automatically form part of the closure set. Their closure need not be recalculated.
3. **Line (18)**: The previous step gives rise to potentially more dependent feature sets that are not directly used by the product-line variant. The algorithm identifies the implementation files for the features in dependent feature sets.
4. **Line (19)**: Note that the closure for the corresponding feature implementation files may need to be calculated. These new files form the pending implementation set and are added to the list of pending files whose closure needs to be calculated.



communication paradigms such as client-server, peer-to-peer, event-based, publish-subscribe, etc. Within each paradigm it supports various models of computation (MoC) which are highly configurable for different QoS requirements. We have designed the networked logging product-line servers based on the client-server paradigm with individual models conforming to various MoCs including iterative, reactive, thread-per-connection (TPC), real-time thread-per-connection (RT-TPC) and process-per-connection (PPC). Each product variant may in turn have different QoS requirements for event demultiplexing and event handler dispatching, signal handling, service initialization, interprocess communication, shared memory management, message routing, dynamic (re)configuration of distributed services, concurrent execution and synchronization.

Figure 4 shows the representation of the logging server product line in terms of commonality and variability of the features. We have showcased only those features that are required since we are not interested in how the individual logging server variant is implemented but rather what PIM features it desires from the underlying middleware platform.

### B. Experimental Results of applying the FORMS process

By creating specialized variants of ACE middleware for different types of logging servers, FORMS profiling tools estimate the memory footprint savings, dependent middleware features, source files that implement the features, and exercise unit tests to determine whether the expected performance is met. We showcase the compile-time metrics that result from middleware specialization.

1) *Footprint and Feature Reductions* : Our experiments provide interesting insights about the relationship between the number of middleware features being used and the footprint of the synthesized middleware. The ACE middleware is implemented in 1,388 source files and 436 features with a resulting footprint of 2,456 KB. Table I shows that FORMS has achieved significant optimizations - a 64% reduction in the number of source files used, a 60-76% reduction in the number of features used, and a 41% reduction in memory footprint. The ACE middleware was compiled on Windows using Visual Studio 8.0 compiler. Similar improvements with also observed with GNU GCC compiler on Linux.

Table I also shows that the PLE variants share many middleware PIM features as verified by the almost similar footprint measurements (1,456 KB - 1,500 KB). This means that the middleware forms a homogenous core that supports the entire product line. In this case, a single version of the ACE middleware could be synthesized for the entire product-line instead of synthesizing individual variants for each product. Thus, FORMS also provides guidelines as to whether to synthesize individual variants or a single variant for the product-line thereby eliminating the need to provide and maintain multiple specialized middleware variants.

2) *Modularization Discrepancies*: On the other hand as shown in Figure 5, there is a wide disparity between the number of PSM middleware features required by the individual product variants (107-178) variants and the PSM source files

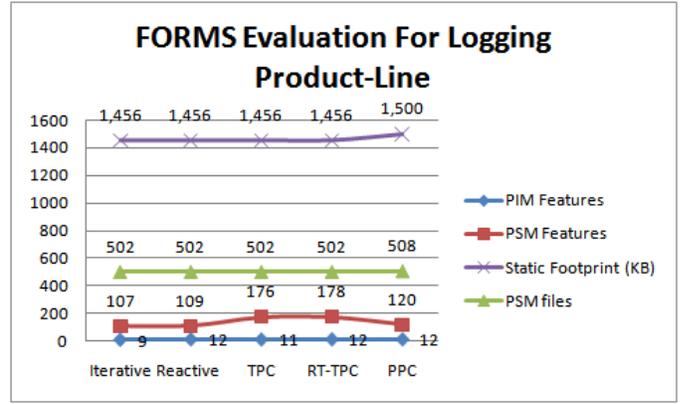


Fig. 5. Modularization Disparities

implementing them. More specifically after inspecting the individual product variant generated MPC build configuration, there were some unused PSM features that percolated into the feature modules of a product variant. This means that there are several unused middleware features that find their way in the specialized middleware for the Iterative, Reactive and PPC product variants that originally required fewer features.

The reason for such disparity is due to the implementation dependencies intentionally/unintentionally designed by the middleware developer. This results from the conflicts between the design goals envisioned by the middleware architects and the implementation goals of the middleware developers. Thus even though general-purpose middleware is designed in a modular way, the modularity does not manifest exactly in the same way in their implementations of the middleware layers. Thus, FORMS can provide a guideline to the middleware developers to detect and break unnecessary dependencies within their source code.

### C. Additional Insights provided by FORMS

FORMS can be enhanced to give additional insights to middleware developers about the middleware modularization, ease of testing and maintenance overheads.

- 1) **Discovering Modularization Discrepancies:** FORMS can help in figuring out whether the logical PIM feature independence translates to their actual PSM implementation independence. This happens if a single PSM implementation source file implements more than one PIM feature or vice versa. This can be figured out by discovering out the PSM dependencies between the individual PIM features during the middleware development process. This will also be useful to figure out modularity of the software and point out any potential flaws in the implementation of the original design. This will also aid maintainability and evolvability of the software by enabling the detection of dependencies between so called independent features.
- 2) **Automated Test Case Selection:** Since FORMS reduces the amount of features, in turn the functionalities that are required from the middleware, it can enable automatic

Networked Logging Applications Product Line		Outcome of Closure Computations		Synthesized Middleware
<i>Product Variant (described in Domain Concerns)</i>	<i># of Middleware PIM Features</i>	<i># of Middleware PSM Features</i>	<i>Size of Closure Set (PSM files)</i>	<i>Static Footprint (KB)</i>
Simple (Iterative) Logging	9	107	502	1,456
Reactive Logging	12	109	502	1,456
Thread Per Connection Logging	11	176	502	1,456
Real-Time Thread Per Connection Logging	12	178	502	1,456
Process Per Connection Logging	12	120	508	1,500

TABLE I  
Outcome of applying FORMS to a Product-line of Networked Logging Applications

- test case selection of functional unit tests in order to alleviate the testing and maintenance overhead for the middleware developers
- 3) FORMS can advise middleware developers to correct their implementation mistakes by breaking unwanted dependencies with the middleware modules. This will help reduce the tight coupling between the modules within the middleware layers and minimize the presence of unused features in feature modules. However it will not automatically decompose the middleware along domain concerns. FORMS will be required to perform vertical decomposition of the middleware.
  - 4) FORMS helps in identifying the core middleware features needed by the product-line. FORMS can take a multiset intersection of all the closure sets that are generated for the different product-line variants. This intersection represents the commonality whereas the rest of the features represent the variability.
  - 5) FORMS can potentially figure out the differences between the logical middleware core as designed and envisioned by the middleware architect and physical middleware core estimated by the closure computation.
  - 6) The different feature modules corresponding to individual product variants share a set of common features and thus are not independent of each other. This forms the commonality dimension of the product-line engineering methodology. FORMS can discover the common intersection set of the product variant's closure sets and then try to compute the maximal closure set from the intersection set. This maximal closure set can form logical middleware core that is used by the entire product line.
  - 7) Looking at the individual closure sets of the features FORMS can also possible to find related, dependent feature(s) that are physically similar even though logically distinct.

#### IV. RELATED WORK

We survey and organize related work along two different dimensions: forward engineering and reverse engineering, and the techniques they use to realize these processes.

##### A. Forward Engineering Approaches

1) *Feature-oriented programming (FOP) for feature module construction*: Current PLE research is supported primarily

through feature-oriented programming (FOP) techniques as advocated by AHEAD [12], CIDE [13], and FOMDD [7]. These approaches are based on processes that annotate features in source code and compose feature modules that are essentially fragments of classes and their collaborations that belong to a feature. Being forward engineering techniques that they rely on clear identification of features, their dependencies and their interactions right from the requirements gathering stage of the PLE software lifecycle. Some efforts in this direction stem from the identification of feature interactions, their dependencies, granularity and their scope [14].

FORMS encompasses the AHEAD and CIDE FOP methodologies by leveraging reverse engineering to enable automatic identification of features and their dependencies and composing only the features that directly serve the domain concerns of the product line application. However both approaches rely on manual identification of features in legacy source code and manual definition of composition rules. FORMS can be potentially extended by integrating both AHEAD and CIDE based FOP approaches to support fine-grained composition of feature modules.

2) *Aspect-oriented programming (AOP) for modularizing crosscutting concerns*: AOP provides a novel mechanism to reduce footprint by enabling crosscutting concerns between software modules to be encapsulated into user selectable aspects. *FACET* [4] identifies the core functionality of a middleware framework and then codifies all additional functionality into separate aspects. To support functionality not found in the base code, *FACET* provides a set of features that can be enabled and combined subject to some dependency constraints. By using AOP techniques, the code for each of these features can be weaved at the appropriate place in the base code. However *FACET* requires manual refactoring of the middleware code into fine grained aspects for composition. FORMS does not require manual refactoring of the middleware code necessitated by the AOP techniques through its automated detection of features and feature dependencies within middleware source code.

3) *Combining modeling and aspects for refinement*: The *Modelware* [5] methodology adopts both the model-driven architecture (MDA) [15] and AOP. Borrowing terms from subject-oriented programming [16], the authors use the term *intrinsic* to characterize middleware architectural elements that are essential, invariant, and repeatedly used despite the

variations in the application domains. They use the term *extrinsic* to denote elements that are vulnerable to refinements or can become optional when the application domains change.

Modelware advocates the use of models and views to separate intrinsic functionalities of middleware from extrinsic ones. Modelware considerably reduces coding efforts in supporting the functional evolution of middleware along different application domains. These are mainly forward engineering approaches that are dependent upon an efficient design process. However, most of the existing general purpose middleware has already been developed and there is a need to facilitate its specialization for domain-specific use through top-down reverse engineering approaches like FORMS.

Moreover, both FACET and Modelware being forward engineering approaches there is no automatic solution to manually annotating features and identification of cross-cutting concerns and modularizing them.

### B. Reverse Engineering Approaches

1) *Design Pattern Mining from source*: Substantial research has been conducted on discovering design and architectural patterns from source code [17]. However, most such techniques are informal and therefore lead to ambiguity, imprecision and misunderstanding, and can yield substandard results due to the variations in pattern implementations. In order to specialize middleware such design pattern mining techniques need to be well supported by round-tripping techniques provided by FORMS that will enable any specializations at design level to reflect back into the source code. We are investigating the application of such techniques to automate feature annotation in source code.

Since forward engineering techniques focus on feature identification, static, and dynamic composition, they rely on strong modular boundaries. However, reverse engineering approaches like source code analysis which is the base of FORMS can prove to be beneficial to identifying features that span module boundaries and identifying discrepancies in the intended logical design of the middleware and their physical implementations.

## V. CONCLUDING REMARKS

Although forward engineering provides systematic and elegant techniques for synthesizing specialized middleware, it does not modularize middleware implementations along domain concerns that are often entangled and crosscut conventional horizontal modularization boundaries in middleware. In this paper we present FORMS which is a reverse engineering techniques based on source code analysis that offers a promising and viable alternative to modularize domain concerns within middleware code. Source code analysis techniques tend to be coarse grained at best but can provide crucial pointers to the lack of proper implementation methods by showcasing the difference between the intended PIM module designs and their PSM code implementations.

*Future Work and Open Issues*: Following are the open issues not handled by FORMS that impede fine-grained modularization of middleware:

- **How do we handle feature interactions?** Features are often known to interact [18] with each other. For example, when performance and resource constraints are also to be addressed across the lifecycle, it is conceivable that specializations that satisfy one requirement may interact in unforeseen ways with other kinds of specializations. Naturally, any *ad hoc* process will not produce the correct results nor will it work across different domains.
- **How to efficiently annotate middleware source code for feature identification and management?** There is not only a need to systematically design middleware ground-up but also a need to refactor contemporary middleware for feature pruning/augmentation. However refactoring can be a monumental task for middleware developers. This can only be achieved by devising efficient advanced annotations that identify middleware features, their dependencies and interactions, which can then be leveraged by tools like FORMS.
- **How to tackle fine-grained modularization?** lack of fine granularity of modularization in their design make general-purpose middleware heavyweight solutions and a performance overhead. FORMS needs to tackle the fine-grained modularity by automatically annotating code and generating the middleware specialization directives. We intend to investigate such issues in our future work by further improving the FORMS tools based on the anomalies and discrepancies that FORMS can discover and by integrating contemporary tools like CIDE, AHEAD, and FOCUS [19] to support fine-grained feature composition.

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